

Form PTO-1449  LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)				U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. NI22-1816	SERIAL NO. 09/955632	
				APPLICANT KUNAL R. PAREKH, ET AL.		FILING DATE <u>9-18-01</u> FILED CONCURRENTLY		
						GROUP <u>2813</u>		
U.S. PATENT DOCUMENTS								
*Examiner Initial		Document Number	Date	Name		Class	Subclass	Filing Date If Appropriate
<u>YK</u>	AA	5338.700	08/94	Dennison et al.				
	AB	5.401.681	03/95	Dennison				
	AC	6.015.983	01/00	Parekh				
	AD	5.981.334	11/99	Chien et al.				
	AE	5.981.333	11/99	Parekh et al.				
	AF	5.923.973	07/99	Chen et al.				
	AG	5.913.129	06/99	Wu et al.				
	AH	5.885.866	03/99	Chen				
	AI	5.874.335	02/99	Jenq et al.				
	AJ	5.763.286	06/98	Figura et al.				
<u>YK</u>	AK	6.037.220	03/00	Chien et al.				
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)								
<u>YK</u>	AR	Aoki, Masami, et al., "Fully Self-Aligned 6F <sup>2</sup> Cell Technology For Low Cost 1 Gb DRAM", Symposium						
		On VLSI Technology Digest of Technical Papers, IEEE, pp. 22-23 (1996)						
	AS							
	AT							
EXAMINER		<u>YK</u>		DATE CONSIDERED		<u>11/11/02</u>		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

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Form PTO-1449  <b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>LIST OF ART CITED BY APPLICANT</b> (Use several sheets if necessary)					ATTY. DOCKET NO. M122-1816	SERIAL NO. <u>09/955632</u>	
					APPLICANT KUNAL R. PAREKH, ET AL.		
					FILING DATE <u>9-18-01</u> FILED CONCURRENTLY	GROUP <u>2813</u>	
<b>U.S. PATENT DOCUMENTS</b>							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
<u>Y</u>	AA	6,034,391	03/00	Tobita			
	AB	5,897,352	04/99	Lin et al.			
	AC	5,745,336	04/98	Saito et al.			
	AD	5,686,747	11/97	Jost et al.			
	AE	5,383,150	01/95	Nakamura et al.			
	AF	5,786,250	07/98	Wu et al.			
	AG	5,597,756	01/97	Fazan et al.			
	AH	5,639,689	06/97	Woo			
	AI	5,663,085	09/97	Tanigawa			
	AJ	5,418,180	05/95	Brown			
<u>Y</u>	AK	5,340,765	08/94	Dennison et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes
	AL						No
	AM						
	AN						
	AO						
	AP						
<b>OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)</b>							
AR							
AS							
AT							
EXAMINER			DATE CONSIDERED		<u>11/11/01</u>		
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Form PTU-1469  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)				ATTY. DOCKET NO. M122-1816	SERIAL NO. 09/555,633		
				APPLICANT KUNAL R. PAREKH, ET AL.			
				FILED DATE September 18, 2001	GROUP 2813		
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date (If Appropriate)
Y	AA	6,114,200	03/05/00	Yew et al.	7		
Y	AB	6,037,216	03/14/00	Liu et al.	7		
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes <input type="checkbox"/> No <input type="checkbox"/>
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	AM						
	AN						
	AO						
	AP						
OTHER REFERENCES (including Author, Title, Date, Patent Number, Etc.)							
	AR						
	AS						
	AT						
EXAMINER				DATE CONSIDERED	11/10/02		
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